The University of Sheffield
Application for the Upgrade of Candidature from the Degree of MPhil to the Degree of PhD, MD or DDSc

To be completed by the student and Department:

Candidate Details:

Title
First Name
Surname/Family Name
Mr
Zakaria
Mubata-Meza

Correspondence Address
35 Roebuck Road, Cirencester, Stroud, G6 3GP

Student Registration Number
Department
Full-Time
Part-Time
University Staff Candidate
Joint Location
Remote Location
Inorganic Chemistry
No
No
No
No
No
No
No
No
No

Faculty
Social Sciences

Date of Entry
14.03.03

Research Away from the University (please specify dates and locations)

Title of proposed research:
Analysis of some aspects which influence the free, accurate and equal access to information research in the public library

Date of Research Proposal

Name of Supervisor(s)
Regina Ford

If joint supervision is proposed, please indicate with an asterisk the supervisor with prime responsibility

Signatures of Supervisor(s)
N.G. Ford

Supporting Statement (This should include a description of the assessment procedure that has been followed - please attach additional sheets if necessary and/or a copy of the recommendations of the departmental upgrading panel).

Please note that this information will be released to students.

The student completed a 10,000 word report which was assessed by the Departmental Research Committee and deemed to be satisfactory.

The student also made a presentation to the Departmental Research Committee. The student has satisfied all the requirements of the Departmental Research Committee which approved the recommendation for his upgrade from MPhil to PhD at the Research Committee meeting on 24 May 2003.

COMPLETED FORM SHOULD BE RETURNED TO THE FACULTY REPRESENTATIVE, GRADUATE RESEARCH OFFICE, 16 GLOSSOP ROAD, SHEFFIELD, S10 2HB

Confirmation of Status - for Graduate Research Office Use Only:

Approved by the Faculty

Official Stamp of the Graduate Research Office

RTP Regulations for the Upgrade of Candidature to PhD, MD or DDSc

Number of RTP Credits Completed: 40

Where relevant, the candidate has obtained:

Ethical approval granted by the appropriate Ethics Committee

A UEMS record for research defined as a health-care research project under the Department of Health’s Research Governance Framework definition. UEMS No:

Completion of the appropriate course of vaccinations

Period of Registration for Fee Paying Purposes

Revised Candidature Details for the Degree of PhD, MD or DDSc

Time limit for the submission of the thesis:

31.5.06

This document is not valid without the signature of the appropriate Faculty Officer and Official Stamps of the Graduate Research Office.
Application to Read for a Research Degree

To be completed by the student and Department:

I am applying to read for the Degree of (tick as appropriate):

☐ MPhil
☐ PhD
☐ MPhil leading to PhD
☐ PhD with Integrated Studies
☐ MMus
☐ MD
☐ DBA
☐ DMedSci
☐ EdD
☐ EdD Ed Psych

Candidate Details:

Title: Ms.
Initials: ZAPPON
Surname/Family Name: Mela Meza
Correspondence Address:
249 Christo Road, S10 2HB, SHEFFIELD, UK

Admissions Qualifications:
Degree: Masters
Subjects: Librarian
Pass/Honours & Class: Science
University: University of Sheffield
Year of Award: 2003

Signature of Supervisor(s):

I certify that I have seen the original/certified copy of the Degree certificate and where applicable, I confirm that the Degree is equivalent to a British Degree.

Signature of Supervisor(s):

Student Registration Number: 030390510
Department: Information Studies
Faculty: Library
Date of Entry: 11.10.03

Ethical Approval:

Yes ☑

If yes, has approval been sought from the appropriate ethics committee(s)?

Yes ☑

If yes, please attach evidence:

No ☐

Name of Supervisor(s) (please print):

Signature of Supervisor(s):

To be assigned by mid or late 2004

Ethical Approval:

Yes ☑

If yes, has approval been sought from the appropriate ethics committee(s)?

Yes ☑

If yes, please attach evidence:

No ☐

Name of Supervisor(s) (please print):

Signature of Supervisor(s):

For completion by Faculty of Medicine students only: Vaccinations

Will the candidate be involved with any invasive procedures or handling of any unixed human tissue?

Yes ☑

No ☐

If yes, has the candidate had the necessary Hepatitis B, Typhoid, and a tetanus booster for Rabies, Meningitis and Mumps vaccines?

Yes ☑

No ☐

Please note that these vaccinations do not affect the students to take blood as part of their research.

Signature of Head of Department:

Confirmation of Status – for Graduate Research Office Use Only:

Approved by the Faculty:

Official Stamp of the Graduate Research Office:

Approved subject to:

Received by the GRO (initials of Faculty Rep. and date):

☐ Ethical approval granted by the appropriate Ethics Committee

☐ Completion of the appropriate course of vaccinations

☐ Other (please specify):

Period of Registration for Fee Paying Purposes:

Time limit for the Submission of the thesis:

This document is not valid without the signature of the appropriate Faculty Officer and Official Stamp of the Graduate Research Office.
This CONFIRMATION OF UNIT CHOICE is issued to confirm your registration on the Research Training Programme (RTP); your choice of units (including any partial or full exemptions). Your units have been approved by the relevant Sub-Dean of Faculty.

If you have any problems or queries, please contact Miss Foiza Younus on Ext 21403 at the Graduate Research Office.

Name: Mr ZM Muda Meza  
Registration Number: 03505010  
Department: Department of Information Studies  
Faculty: Faculty of Social Sciences

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<td>Library and Information Skills for Successful Research</td>
<td>10</td>
<td>GRAD VR 03-04</td>
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<td>Libraries, Information and Society I</td>
<td>15</td>
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<td>Libraries, Information and Society II: Public and Voluntary Sector Services</td>
<td>15</td>
<td>SPR SEM 03-04</td>
</tr>
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Notes: 
(1) You are not formally required to complete the RTP if you are:  
(i) an MPhil student (target degree, not initial registration)  
(ii) a part-time student

It follows, therefore, that no formal approval is required in the above cases. MPhil students who decide to upgrade to a full-time PhD at a later date will be required to fulfill the requirements of the RTP, depending on circumstances, part-time students who change to full-time may be required to fulfill the requirements.

(2) If you are a cross-sectional student, some or all of your RTP units will be undertaken in the current and following academic session(s).

Mr ZM Muda Meza  
Graduate Research Student  
Department of Information Studies

12 November 2003
Title of the Thesis:

An Application of Community Profiling to Analyse the Information Needs and the Community Issues Affecting the People in Broomhall and Broomhill, Sheffield, and to Evaluate the Roles of Their Information Providers.

By: Zapopan Martín Muela-Meza
Research student

Submitted to:
Professor Bob Usherwood
Professor Nigel Ford
and
Ms. Briony Train, lecturer

Final approved version
11 May 2005
Sheffield, England, United Kingdom
An Application of Community Profiling to Analyse the Information Needs and the Community Issues Affecting the People in Broomhall and Broomhill, Sheffield, and to Evaluate the Roles of Their Information Providers.

<table>
<thead>
<tr>
<th>Contents</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title of proposed thesis</td>
<td>3</td>
</tr>
<tr>
<td>Overall aim</td>
<td>3</td>
</tr>
<tr>
<td>Objectives</td>
<td>3</td>
</tr>
<tr>
<td>Background to research topic</td>
<td>3</td>
</tr>
<tr>
<td>Methods of research proposed</td>
<td>7</td>
</tr>
<tr>
<td>Analysis of the data</td>
<td>10</td>
</tr>
<tr>
<td>Timetable</td>
<td>12</td>
</tr>
<tr>
<td>Resources required</td>
<td>13</td>
</tr>
<tr>
<td>Bibliography</td>
<td>14</td>
</tr>
</tbody>
</table>
1. Title of proposed thesis

The proposed title of this thesis is:

An Application of Community Profiling to Analyse the Information Needs and the Community Issues Affecting the People in Broomhall and Broomhill, Sheffield, and to Evaluate the Roles of Their Information Providers.

2. Overall aim

The overall aim of this project is:

To analyse, through the application of community profiling, the information needs and the issues affecting the people in Broomhall and Broomhill, Sheffield, and to evaluate how information providers seek to meet those needs.

In order to achieve this overall aim, there are four specific research objectives which will be described in the next section and analysed in the sections four and five.

3. Objectives

The objectives of this project are:

A. To analyse the major information needs and community issues affecting the people in the communities of the catchment areas of this project.

B. To evaluate to what extent the information providers located inside the limits of catchment areas meet those needs.

C. To analyse the implications of this study for policy makers.

D. To analyse the overall effectiveness of community profiling.

4. Background to research topic

4.1. Analysis of information needs and issues affecting the people in the communities

For the Library and Information Studies (LIS) field members, regardless of any type of library or information organisation, it is their reason of being to serve their existing or potential users. Therefore, by having a comprehensive knowledge of the needs of the people who are meant to be served, libraries or information organizations, are better prepared to plan, develop and provide information services as needed by the people. By having this kind of a comprehensive knowledge, it can be expected that most or some of the normative, felt, expressed or comparative needs (Glampson, Scott, & Thomas, 1975: 12) might be satisfied, or if not at least they can be identified and recorded for future information provision plans as some LIS commentator suggest (Cooper, et al., 1993).
Another commentator from the LIS field elaborates this idea:

“A library cannot be a living, growing and changing force in any community -public, school, academic-unless it remains sensitive to the character and needs of the community it serves.” (Bone, 1976: 430).

However, people in the communities also face issues which affect their daily lives. These are broader community issues which affect people collectively or on an individual basis, for instance: politics, religions, sports, ethnic or national background, among others. This research project will also assess these community issues.

4.2. Community profiling

In order to analyse the needs of the people in their communities, and the issues they face, and to evaluate their information providers, LIS researchers need to employ a tool appropriate to the purpose of this type of research. The community profiling is considered here the most appropriate tool.

Some researchers from the social sciences other than the LIS field observe that needs assessments, social audits, community consultations and community profiles, while they share certain features in common, can be distinguished from each other in terms of the agencies which are typically involved, the purpose of the exercise, the extent of community involvement and the scope of the exercise. They argue that a community profiling is probably the broadest of these terms. (Hawtin, Hughes, and Percy-Smith, 1994: 12-13). They suggest that the community profiling tool should be an exercise which can help to improve the quality of life of the people in the communities being researched. According to these commentators, community profiling, unlike the other tools mentioned above, is the most suitable tool seeking to improve the quality of life of the people in the communities.

Those lines of research sustain the choice for the researcher of this project for using the community profiling approach instead of others, to hold the guiding idea that the community profiling tool should seek the improvement of the quality of life of the people living in the communities of the catchment areas of this research. Thus, the working definition for this project is that of a community profiling tool as:

“A comprehensive description of the needs of a population that is defined, or defines itself, as a community, and the resources that exist within that community, carried out with the purpose of developing an action plan or other means of improving the quality of life of the community.” (Hawtin, Hughes, and Percy-Smith, 1994: 12-13).
In the LIS field several researchers have employed community profiling to assess information needs in different types of LIS scenarios and countries and with different methodologies. Kendall (1996: 26) suggested that British public libraries should carry out community profiling to assess the needs of older adults; Gericke (1997) suggested libraries should employ community profiling to serve the unserved people in the communities; Ellen (2000: 65, 261) suggested, in a British study, the mapping of people’s needs with community profiling in order to provide electronic information services to improve their everyday life needs, and Lewis (2004: 186) assessed the provision of electronic information services through community profiling in British communities, but focused on the blind and visually impaired communities; Black and Muddiman (1997) proposed that British public libraries should serve the socially excluded and non users through the identification of their needs through community profiling; Kaniki (1995) assessed work-related, school-related and other needs from rural communities in South Africa and agricultural needs from Zambia (1989) by employing also community profiling, Morris (2000: 5) suggested the creation of agricultural information systems in rural South Africa grouped on decision-making, marketing, empowerment, employment and education as the needs found for information provision through community profiling; Penzhorn (2002) assessed the needs of women in some communities of South Africa by using the same approach; Kalyane and Devarai (1994: 91-92) argued that Indian public libraries should become empathic to people by assessing their needs with community profiling combined with qualitative methods and also by meeting them accordingly; Amoros i Fontanals and Perez-Salmeron proposed community profiling to be used in public libraries from Catalunya, Spain as a way to establish local services of information for the communities emanated from the communities.

4.3. Broomhall and Broomhill communities

The author of this proposal has already undertaken research in the Broomhall community as part of his MPhil/PhD Transfer Report towards the upgrading of the doctoral program in full at the Department of Information Studies at the University of Sheffield (Muela-Meza, 2004). In that research it was noted that Broomhall is one of the eleven most deprived communities in Sheffield. That state of deprivation was a motivation to carry out research in order to analyse information needs and community issues being faced. The Broomhill community, is a more affluent community and it is geographically bounded to Broomhall (Muela-Meza, 2004; Harman and Minnis, 2004; Hey, 1998). Thus this research project will compare these two highly contrastive communities.

Some of the community issues already found by Muela-Meza (2004) in Broomhall are these: social class, unemployment, crime, culture, arts, literature, music, shows, parades, and festivals, clubs, pubs, and shops, public services provision, housing, health, communications and transport, leisure facilities, parks and playgrounds, education, and information, help, and advice services.
provision. This project will also compare the similar and different community issues from Broomhall with Broomhill and it will analyse the impact of these issues on the people and how they may become information needs.

4.4. Information providers

People in the communities having any sort of needs or concerned with any kind of issues, require that organizations and institutions provide social services to meet those needs, be they in housing, electricity, transport, communications, information, etc. Analysing to which extent the information services providers meet the people's needs and to which degree these services help to solve people's issues, might shed some light of the people's quality of their living conditions.

Thus, it is intended that this project will evaluate the effectiveness of information provision as a Social Work researcher argues:

“Existing agency policies and services should be subject to evaluation following a community needs profiling exercise not only to measure their effectiveness but also to highlight the gaps in the provision.” (Green, 2000: 297).

Some commentators from the Social Work field have found that policy makers have provided services for the public based on the availability of resources rather than on the needs of people:

“All too frequently in the past, the provision of services has been based on the availability of a given resource rather than on evidence of identified need. The result has often been that the services lacked direction and focus in relation to specific local needs.” And they emphasize the importance of the analysis of the needs of the people: “With adequate information about the needs and knowledge of available resources, services may be planned in a more rational and comprehensive fashion.” (Glampson, Scott, and Thomas, 1975: 12).

Thus, this project will demonstrate if policy makers provide information services based on actual people’s needs or on the availability of their resources.

4.5. Relevance of this doctoral research project

In the LIS field there is a very long tradition of assessing the needs of the people LIS information providers are meant to serve. Some commentators have even identified that this tradition goes as back as more than 100 years (Sarling and Van Tassel, 1999: 7). From the literature reviewed, the most fully comprehensive manual of the concepts and applications of community profiling for librarians is the monograph by Christina Beal (1985). Also there are several examples of needs profiling of communities grouped in different ways other than geographical
locations such as: by nationalities (Zhu, 2003), or by the status of unemployment (Bignell, 1989; Hankin, 1995), or by trade unions (McManus, 1987), for a comprehensive list of community profiles see Muela-Meza (2004). However, this project will have a different approach and show distinctive features from prior studies. This is a sample of features where this doctoral project will have a distinctive impact in the LIS field both: theoretically and methodologically:

• Whereas most of the studies have focused on the information needs of some particular groups of people, this study will focus also on the people, within their geographical context, but trying to analyse their physical environment as well, where they live, work, study, etc. (Kaniki, A.M. (1995).
• Whereas most of the studies focus mainly on the information needs of the people, this study will also focus on the community issues which may or may not affect these needs (Forsetlund and Bjorndal, 2001).
• Whereas most of the studies have mainly profiled the communities in order to provide information services from existing or future libraries, this project will go beyond that through several paths such as: discovering relationships among the people --their needs and issues affecting them in their physical context-- and their information providers; researching mainly for academic purposes to test the community profiling approach and methodologies; informing policy makers but in a tangential fashion, etc.
• Whereas most of the previous studies on community profiling have relied mainly on quantitative research methodologies (Satyanarayana, 1997, Whitehead and Rowan, 2005), this project will apply qualitative ones and test their effectiveness when combined with community profiling (Kalyane, Devarai, and Rajashekhar, 1994, Penzhorn, 2002, Gericke, 1997, Williamson, Schauder, and Bow, 2000, Moran and Butler, 2001: 64-65).

5. Methods of research proposed

5.1 Qualitative research methodology

The emphasis will be on qualitative research, because the project will try to accomplish its aims by drawing the data within the context where people interact in their communities. Some LIS researchers elaborate this idea in this way:

“Qualitative research is a process of inquiry that draws data from the context in which events occur, in an attempt to describe these occurrences, as a means of determining the process in which events are embedded and the perspectives of those participating in the events, using induction to derive possible explanations based on observed phenomena.” (Gorman and Clayton, 1997: 23).

Most of the previous studies, where community profiles have been undertaken have relied strongly on quantitative research methods such as questionnaires (Satyanarayana, 1997: 192; Sarling and Van Tassel, 1999). Another LIS researcher who also relies strongly on quantitative methodologies, however, he
found some flaws on the use of quantitative methodologies to quantify some qualitative categories such as behaviour, gestures, postures, and others when he assessed information needs of some communities (Kaniki, 1995). Another LIS researcher argues that in recent years LIS researchers have found dissatisfaction with the generalisation of quantitative methodologies and the depersonalised of information provision and use, and thus, qualitative research is a more suitable approach to provide information according to a more personalised assessment of people needs within their particular scenarios which may not be or do not have to be the same as others (Penzhorn, 2002: 241). Another LIS commentator elaborate this idea by addressing that in order to fill the gap between people needing information and their providers, community profiling employing qualitative methodologies where people in the communities are participative, engaged, and “empowered” and therefore information provision is according to what they really felt, expressed, and requested for, or even if they did not know they have a need (Gericke 2000, Ramírez Velázquez, 2003, Kalyane and Devarai, 1994).

5.2. Triangulation of methods

A LIS researcher (Beal, 1985: 47) observes that due to the nature of this research, a single method will not be enough to capture sufficient and adequate data to come up with a sound and systematic analysis of the problems to be assessed. Also, the applicability of several methods will help the project to bring about a rigorous validity and reliability often criticised as a weakness of qualitative research as some sociologists point out (Smith, 2002: 37; Denscombe, 2003: 134). Triangulation of methods extends the breadth of projects and improves the quality of the research; obviously, conclusions arrived at by using several different means are more likely to be correct, and accepted as such (Gorman and Clayton, 1997: 32).

5.3. Literature review

The literature review, says a sociologist expert on qualitative research methodologies, is the first step to have a broad view of previous research and as a guide to design the instruments of collection and analysis of data. This will help the researcher of this project to assess what, why, how, where and who have been doing research in the similar topics as to try to fill the gaps on what others have failed, or to improve the applicability of tools, methodologies or methods for the planning of the research, collecting, and analysing data and writing the thesis. (Denscombe, 2003: 212). The documents or publications to be reviewed include: indexes, abstracts, monographs, articles from journals, non referred articles in paper or from the Internet, all kind of useful Internet resources, maps, historic documents, photographs, audiovisual and multimedia resources, grey literature, etc. Strong emphasise will be made on the grey literature as sources
of data, since much of the information from the community may appear in this format (Muela Meza, 2004). In this project several statistical sources from the government and private will be used to gain a wide view of the catchment areas under research as another sociologist expert on community research suggests (Rogers, 2003)

The second research method to be employed in this project is observation.

5.4. Observation

After the researcher has already an idea of the different groups of people and categories where data can be obtained, then the next step is to walk around the streets of the communities, with a diary in hand to physically observe people and things as they appear to the research sight. This is the method of observation.

This method will be used to draw direct evidence from the context where the events occur exactly as they occur or as the researcher observes them (Denscombe, 2003: 192). Some commentators from the health sciences (Forsetlund and Bjorndal, 2001) comment that researchers should use observation when they assess people’s information needs because they may capture some unrecognized or potential needs just by simply observing the physical environment where people perform any of their daily activities.

The next method to be employed will be the focus groups.

5.5. Focus groups

A sociologist comments that this method is particularly useful for exploring people’s knowledge and experiences and can be used to examine not only what people think but how they think and why they think that way (Kitzinger, 1995). Also, a LIS commentator observes that focus groups is necessary to question and listen several participants gathered in a small group, so they can describe their experiences in their own words. (Glitz, 1998:1). Focus groups can answer certain types of questions better than others. They are particularly good at exploring concepts, generating ideas, eliciting opinions and measuring the degree of consensus on a topic. This is because group interaction is used to generate data. Group members stimulate each other to think and express opinions which in turn stimulate more thought (Mitchell and Branigan, 2000).

In this project, focus groups will be used after the literature review and observation, but before interviews, because as some LIS researchers observe, when assessing information needs, focus groups can establish some of the crucial issues which would be important to follow up in subsequent interviews, from those opinions can also be established to whom interview later on (Williamson, Schauder and Bow, 2000).
Thus, the categories to address and the groups of people to select on the focus groups will depend on the data gathered on the previous literature review and the actual physical observation to the communities.

5.6. Interviews

The interviews will be employed formally and informally in order get in-dept insights from the interviewees on the questions being asked which lead to understand the phenomena under research. (Denscombe, 2003: 163; Payne, 1951). A LIS researcher considers that interviews have many advantages over other types of research methods, since researchers can make few questions and the interviewees can elaborate freely on the questions being asked (Nicholas, 2000: 111-112). The formal interviews with key stakeholders from the community, the information provision and the public policy makers will be tape recorded, but from the informal ones only notes will be taken. Particular emphasise will be made on information providers related from the LIS sector inside the community or from closer surroundings to Broomhall and Broomhill.

This will be the last method for collecting data. The next step will be to analyse the data.

6. Analysis of the data

Finally, the data collected will be analysed. In the same way as in the collection of data, triangulation will also be employed. The data collected will be analysed and intertwined throughout the whole doctoral thesis. Some experts on qualitative research data analysis emphasize that the analysis of data most of the time is done with words: “The words can be assembled, sub clustered, broken into semiotic segments. They can be organized to permit the researcher to contrast, compare, analyse, and bestow patterns upon them.” (Miles and Huberman, 1994: 7). This project will consider the use of qualitative research software to assist on the analysis of data. At this stage it has not been decided which type of software will be used, but according to the most recent successful research on qualitative research on public libraries and on the use of this software by researchers at the Department of Information Studies at the University of Sheffield where the researcher of this project is based, it is likely that the Atlas.ti package could be the most suitable choice (Calixto, 2001: 61).

The analysis of data does not follow a strict order. Data have been analysed for the previous project (Muela Meza, 2004), data have been analysed for this proposal, and data will be analysed for the whole doctoral thesis. Thus analysing and writing data to the full completion of the thesis has been an ongoing process, and it will continue in this way until the thesis be finished and approved.
The next section shows the timetable this doctoral project will follow.

**Timetable**

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<tbody>
<tr>
<td>Correction of the final research proposal.</td>
<td>January - March 2005</td>
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<tr>
<td>Collection of data (observation, interviews, focus groups)</td>
<td>Mid-April – July 2005</td>
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<tr>
<td>Analysis of data</td>
<td>August – October 2005</td>
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<td>Period for reflection and writing up</td>
<td>October – December 2005</td>
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<td>Submission of any chapter</td>
<td>January 2006</td>
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<tr>
<td>Submission of another chapter and correction of any previous chapter</td>
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</tr>
<tr>
<td>Submission of any chapter</td>
<td>March 2006</td>
</tr>
<tr>
<td>Submission of another chapter and correction of any previous chapter</td>
<td>April 2006</td>
</tr>
<tr>
<td>Submission of one chapter</td>
<td>May 2006</td>
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<td>July 2006</td>
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<tr>
<td>Trial Viva</td>
<td>August 2006</td>
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<tr>
<td>Submission of the full thesis completed</td>
<td>September 2006</td>
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<td>Final arrangements and end of PhD program</td>
<td>30 October 2006</td>
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7. **Resources required**

These are some of the resources required:

- Ethical authorization by the corresponding departments of the University of Sheffield to carry out this research as proposed at the beginning. This research will be value free and will comply with all the explicit or non-written ethical codes by assuring anonymity of all respondents and other participants during the research process.
- Permission of the members of the communities or any other organizations to gain access to their information resources and to gain access to their trust in order to carry on the interviews and the focus groups.
- Notepads to take notes; tape recorders and cassettes to record the voices of participants and to transcribe the cassettes.
- Computer software to analyse the data and write the thesis.
8. Bibliography


the services provided by the Derbyshire Unemployed Workers Centre, Chesterfield. Sheffield, UK: University of Sheffield. (MA dissertation in Librarianship).


Lewis, Andrew (2004) A user survey of the experiences of blind and visually
impaired people using electronic information services, with regard to the practical implementation of these services in public libraries. Aberdeen, UK: School of Information and Media, Aberdeen Business School, Robert Gordon University. (MSc dissertation in Information and Library Studies) [Online] http://eprints.rclis.org/archive/00002493/ [Accessed 8 April 2005].


Information Management.


December 2004].


